

Patent Counsel

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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vigania 22313-1450 www.uspic.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/621,042

APPLIED MATERIALS, INC.

Santa Clara, CA 95052

07/15/2003

Juan Carlos Rocha-Alvarez

AMAT/5302.C1/DSM/LOW

K/JW

CONFIRMATION NO. 8898

FORMALITIES LETTER

OC000000011050876

Date Mailed: 10/16/2003

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid processing delays.

 Additional claim fees of \$246 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1146 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$246

■ \$162 for 9 total claims over 20 .

■ \$84 for 1 independent claims over 3 .

Mail Stop Missing Parts Replies should be mailed to:

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

Effective OCTOBER 1, 2003, Patent Fees will be adjusted. Please note that fee amounts required by the enclosed Notice might reflect the fees due on the date of filing. Any fee paid on or after OCTOBER 1, 2003 is subject to the new FY 2004 fee schedule and must be paid in the new amount.

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EXAMPLES OF FEES BEING ADJUSTED		* Indicates fees remain
See www.uspto.gov for complete FY 2003		at FY 2003
and FY-2004 Fee Schedules		amount
DESCRIPTION	FY 2003	FY 2004
Basic filing fee - Utility	\$750	\$770
Basic filing fee - Utility (Small Entity)	\$375	\$385
Independent claims in excess of three	\$84	\$86
Independent claims in excess of three (Small Entity)	\$42	\$43
Claims in excess of twenty	\$18	\$18*
Claims in excess of twenty (Small Entity)	\$9	\$9*
Multiple dependent claim	\$280	\$290
Multiple dependent claim (Small Entity)	\$140	\$145
Surcharge - Late filing fee	\$130	\$130
Surcharge - Late filing fee (Small Entity)	\$65	\$65
Design filing fee	\$330	\$340
Design filing fee (Small Entity)	\$165	\$170
Plant filing fee	\$520	\$530
Plant filing fee (Small Entity)	\$260	\$265
Reissue filing fee	\$750	\$770
Reissue filing fee (Small Entity)	\$375	\$385
Reissue independent claims	\$84	\$86
Reissue independent claims (Small Entity)	\$42	\$43
Reissue claims in excess of twenty	\$18	\$18* ***
Reissue claims in excess of twenty (Small Entity)	\$9 \$4.50	\$9* : \$160* -
Provisional application filing fee	\$160	\$160 { \$80* }
Provisional application filing fee (Small Entity)	\$80	\$50*
Surcharge - Late provisional filing fee	\$50 \$25	\$25*
Surcharge - Late provisional filing fee (Small Entity)	\$25 \$130	\$130*
Non-English Specification	\$110	\$130 \$110*
Extension - First month	\$110 \$55	\$110 \$55*
Extension - First month (Small Entity)	. \$410	\$420
Extension - Second month	\$205	\$210
Extension - Second month (Small Entity)	\$930	\$950
Extension - Third month	\$465	\$475
Extension - Third month (Small Entity)	\$1,450	\$1,480
Extension - Fourth month	\$725	\$740
Extension - Fourth month (Small Entity)	\$1,970	\$2,010
Extension - Fifth month	\$985	\$1,005
Extension - Fifth month (Small Entity)	\$130 \$130	\$130*
Petition Petition	\$50	\$50*
Processing returned checks	400	

For further fee information, please call (703-308-HELP)